



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 29th OCTOBER 2015

PRESENT: Councillor J Chesworth (Chair), Councillors J Faulkner, J Goodall, S Goodall, K Norchi and T Peale

Officers John Wheatley (Executive Director Corporate Services) and Angela Struthers (Head of Internal Audit Services)

35 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor Jeremy Oates

36 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 24 September 2015 were approved and signed as a correct record.

(Moved by Councillor K Norchi and seconded by Councillor S Goodall)

37 DECLARATIONS OF INTEREST

There were no declarations of Interest.

38 FRAUD AND CORRUPTION UPDATE REPORT

The Head of Internal Audit provided Members with an update of Counter Fraud work completed to date during the financial year 2015/16.

RESOLVED:

- That the Committee
- 1 endorsed the Checklist for those Responsible for Combating Fraud and Corruption (Appendix 1);
 - 2 approved the Counter Fraud and Corruption Policy Statement, Strategy and Guidance Notes (Appendix 2);
 - 3 approved the Whistleblowing Policy (Appendix 3);

and

- 4 endorsed the Fraud Risk Register Summary (Appendix 4)

(Moved by Councillor J Faulkner and seconded by Councillor S Goodall)

39 INTERNAL AUDIT QUARTERLY REPORT

The Head of Internal Audit Services reported on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the 2nd Quarter of 2015/16 – and provided members with assurance of the ongoing effective operation of an internal audit function and to enable any particularly significant issues to be brought to the Committee's attention.

RESOLVED: That the Committee considered the Quarterly Report and had no issues to raise

(Moved by Councillor T Peaple and seconded by Councillor J Goodall)

40 RISK MANAGEMENT UPDATE 2015/16

The Head of Internal Audit reported on the Risk Management process and progress to date for the current financial year.

RESOLVED: That the Committee

- 1 approved the revised Risk Management Policy and Strategy;
- 2 endorsed the Corporate Risk Register; and
- 3 endorsed the Risk Management Action Plan

(Moved by Councillor J Faulkner and seconded by Councillor J Chesworth)

41 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE

The Committee reviewed and agreed the timetable.

Chair

